

**ON ORTHOGONAL POLYNOMIALS
WITH RESPECT TO NORMAL
OPERATORS**

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Preliminaries

- (i). Normal operator
- (ii). Numerical range
- (iii). Spectrum
- (iv). Self-adjoint operator
- (v). Norm
- (v). ζ is a cyclic vector if

$$\overline{\text{span}\{T^i\zeta : i = 0, 1, 2, \dots\}} = H$$

Functional calculus approach

Consider $f(T)$, where $f : \sigma(T) \rightarrow \mathbb{C}$ is a continuous function defined on the spectrum of T .

First, let

$$p(z) = \sum_{l,k=0}^n c_{lk} z^l \bar{z}^k$$

Define $p(T)$ by

$$p(T) = \sum_{l,k=0}^n c_{lk} T^l T^{*k}$$

Remark 1 *Note that $TT^* = T^*T$ is a necessity, since $p(T)$ is not well-defined otherwise. Using the Stone- Weierstrass theorem, one can define $f(T)$ for arbitrary $f \in C(\sigma(T))$ as a limit of operators of the form $p(T)$ in the norm topology.*

Proposition 2 *If $T \in B(H)$ is a normal operator, then the previously defined map $C(\sigma(T)) \ni f \mapsto f(T) \in B(H)$ is a well-defined isometric C^* -algebra homeomorphism of $C(X)$ onto the C^* -algebra generated by T and I .*

Examples of Normal operators

(i). Multiplication operators

Let $H = L^2(X, \mu)$ be a given Hilbert space and let $f \in L^\infty(X, \mu)$.

Then $M_f : L^2(X, \mu) \rightarrow L^2(X, \mu)$ defined by $(M_f g)(x) := f(x)g(x)$ is called a multiplication operator.

Known facts on multiplication operators:

1. $\|f\|_\infty = \|M_f\|$
2. $\sigma(M_f) = \{x \in X : \mu(|f - x| < \varepsilon) > 0, \text{ for all } \varepsilon > 0\}$

(ii). Diffusion operators

(iii). Self-adjoint differential operators

(iv). Self-adjoint integral operators

Characterization of normal operators

An operator T is said to be:

- (i). **Quasinormal** if $TT^*T = T^*TT$
- (ii). **Subnormal** if for a Hilbert space H there is a subspace X of H , and a normal operator $S \in B(H)$ such that $S(X) = X$ and $T = S|_X$
- (iii). **Hyponormal** if $\|T^*x\| \leq \|Tx\|$, for all $x \in H$
- (iv). **Paranormal** if $\|Tx\|^2 = \|T^2x\|$, for all $x \in H$
- (v). **Normaloid** if $\|T\| = \sup\{|\lambda| : \lambda \in \sigma(T)\}$
The following implications hold:
 $Normal \Rightarrow Quasinormal \Rightarrow Subnormal \Rightarrow$
 $\Rightarrow Hyponormal \Rightarrow Paranormal \Rightarrow Normaloid$

Spectral theorem for normal operators

Theorem 3 *For every normal $T \in B(H)$, there exists a finite measure space (X, Ω, μ) and a function $f \in L^\infty(X, \mu)$ such that T is unitarily equivalent to M_f , that is, there is a unitary transformation $U : H \rightarrow L^2(X, \mu)$ such that $T = U^{-1}M_fU$.*

Remark 4 *If T does not have a cyclic vector, then we can decompose H into an orthogonal sum of subspaces of H in which there is a cyclic vector for T as per the next lemma*

Lemma 5 *Let $T \in B(H)$ be a bounded normal operator. Then there exists a sequence of subspaces $\{H_i\}_{i \in I}$ such that for each i , H_i is invariant for T , it has a cyclic vector and $H = \sum_{i \in I} H_i$.*

Finite dimensional case for spectral theorem

Consider $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$ be a self-adjoint operator.

Suppose that its eigenvalues $\lambda_1, \dots, \lambda_n$ are distinct

and the corresponding normalized eigenvectors are denoted with v_1, \dots, v_n ,

and they form an orthonormal basis.

Then the spectral measure at the vector v is defined by

$$\mu_v = \sum_{k=1}^n |\langle v_k, v \rangle|^2 \delta_{\lambda_k}.$$

Proposition 6 *Let $T \in B(H)$ be normal then for every polynomial p , we have*

$$\langle p(T)v, v \rangle = \int p(x) d\mu_v(x).$$

Proof. We have that v_1, \dots, v_n form an orthonormal basis, so $v = \sum_{i=1}^n \langle v_i, v \rangle v_i$. Therefore,

$$\begin{aligned} \langle T^k v, v \rangle &= \sum_{i,j=1}^n \lambda_i^k |\langle v_i, v \rangle|^2 |\langle v_j, v \rangle|^2 \langle v_i, v_j \rangle \\ &= \sum_{i=1}^n \lambda_i^k |\langle v_i, v \rangle|^2 \\ &= \int x^k d\mu_v(x), \end{aligned}$$

for all $k = 1, 2, \dots$

Q.E.D

Remark 7 *If v is cyclic then μ_v is a spectral measure.*

Orthogonal Polynomials

Classical orthogonal polynomials:

The well known families of orthogonal polynomials are the:

- Jacobi
- Laguerre
- Hermite
- Bessel.

Consider μ to be a finite Borel measure on the real line with compact support K .

We assume that K contains infinitely many points in its support and $\int x^k d\mu(x)$ is finite.

Proposition 8 *For every polynomial $\Phi(x)$ for which $\Phi(x) > 0$, $x \in K$, we have $\int \Phi(x) d\mu(x)$.*

Theorem 9 *There is a unique sequence of orthonormal polynomials with respect to μ , $\{p_n(x)\}_{n=0}^{\infty}$, with $\deg(p_n) \leq n$ such that:*

(i). $p_n(x) = \lambda_n x^n + \dots$, $\lambda_n > 0$,

(ii). $\int p_n(x)p_m(x)d\mu(x) = \delta_{m,n}$.

Proof. Outline of proof

(i). Consider Gram-Schmidt process.

(ii). Put in the process in (i) $\{1, x, x^2, \dots\}$.

(iii). The output will have the required properties.

(iv). Writing every polynomial as a finite linear combination of $p_n - S$ give uniticity.

Q.E.D

Remark 10 *The zeroes of $p_n(x)$ are simple and real.*

Remark 11 *Orthogonal polynomials on the real line are special in some sense. It is possible to obtain a three-term recurrence formula for them, which plays an essential role in their study.*

Proposition 12 [2] *The polynomials $p_n(x)$ satisfy the recurrence formula*

$$xp_n(x) = b_n p_{n+1}(x) + a_n p_n(x) + b_{n-1} p_{n-1}(x),$$

where $p_{-1}(x) \equiv 0$, $b_n > 0$.

Proposition 13 [5] *Let $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$ be an invertible self-adjoint linear operator with simple spectrum. Then there is a unique orthonormal basis $\{v_1, \dots, v_n\}$ of \mathbb{R}^n such that the matrix of T in this basis is a Jacobi matrix.*

Theorem 14 (Infinite dimensional form) *If $T : H \rightarrow H$ is a positive normal operator which has a cyclic vector, then there is a basis in which its matrix is a Jacobi matrix.*

Proof: Analogous to proof of [5], Theorem 7.13.

More work on Convolution operators and ρ -system

This study continues....

We study the connections between the three systems studied by Musonda [4]

We consider two convolution operators

$$Bf(z) = \int_{-\infty}^{\infty} \frac{f(t)dt}{2\cosh\frac{\pi}{2}(z-t)}$$

and

$$Sf(x) = \lim_{G \rightarrow 0^+} \int_{|x-t| > G}^{\infty} \frac{f(t)dt}{2\sinh\frac{\pi}{2}(x-t)}$$

found in [4].

We need to extend the work of [4] to show that orthogonal polynomials can be used to:

1. Determine L^2 -boundedness of operator B and S when they are expressed as eigenvectors
2. Estimate their upper and lower norm bounds when restriction is put to normal operators.

Conclusion

Question: How can we describe eigenvectors and eigenvalues of a **NORMAL** operator?

Hint: When eigenvectors are orthogonal polynomials

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THE END

THANK YOU!!!